CAPITALWAVE / CAPITALBOS

Back Office Settlement Simulator

One-session pilot plan

Audience and format

University finance or trading lab cohorts and financial operations training teams. Proposed format: one facilitator-led, 60-minute session with a representative group. Agree cohort size and access with CapitalWave.

Learning objective

Distinguish matching, authorization and settlement. Learners should identify the hold, support a release request with evidence, and reconcile the resulting cash and securities movements.

Case and agenda

Matched, Funded, Still Not Settling uses a fictional French equity trade. Allow 10 minutes for a case briefing, 35 for investigation, controlled release and reconciliation, and 15 for review and debrief.

Instructor responsibilities

Confirm learner access and test the scenario before class. During the session, ask learners to record what they checked and why. Use the saved debrief to compare the decision, evidence and outcome by cutoff.

Evidence and evaluation

Collect the investigation rationale, release decision and reconciliation result. Check whether learners identify the hold, support escalation or release with appropriate evidence, and distinguish approval from actual settlement. Agree the success threshold before class.

Scope and licensing

Confirm access dates, learner and instructor seats, support, and any fees with CapitalWave. Request a written proposal before committing to wider use.

Decision after the pilot

Review the evidence with the course owner or training lead. Decide whether to proceed, revise the exercise or defer.

Review the teaching workflow at capitalwave.com/capitalbos#teaching-workflow